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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
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09/523,776

03/11/2000

Pamela L. Zeitlin

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05/29/2007

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EXAMINER

WANG, SHENGJUN

ART UNIT

PAPER NUMBER

1617

MAIL DATE

DELIVERY MODE

05/29/2007

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

| | | | |
|------------------------------|------------------------|---------------------|--|
| Office Action Summary | Application No. | Applicant(s) | |
| | 09/523,776 | ZEITLIN ET AL. | |
| | Examiner | Art Unit | |
| | Shengjun Wang | 1617 | |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 05 March 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 48, 51 and 55 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 48, 51 and 55 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on March 5, 2007 has been entered.

Claim Rejections 35 U.S.C. 103

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 48, 51 and 55 are rejected under 35 U.S.C. 103(a) as being unpatentable over Herron (US Patent 4,764,521) in view of Rubenstein et al (IDS, CJ) and Rephaeli (U.S. Patent 5,939,455).

3. Herron teaches generally that substituted aryl carboxylic acids, including substituted 4-phenyl-3-butenic acid are known to be useful for treating respiratory disease such as cystic fibrosis. See, the abstract, columns 1-4, column 12, lines 5, column 17, lines 50-52.

4. Herron does not teach expressly the employment of unsubstituted aryl carboxylic acid, e.g., 4-phenyl-trans-3-butenic acid for treatment of cystic fibrosis.

5. However, Rubenstein et al. teaches unsubstituted aryl carboxylic acid, 4-phenylbutyric acid is also known to be useful for treatment of cystic fibrosis. See, particularly, the abstract. Rephaeli further teaches that a variety of butyric acid derivatives, including phenyl-butyric acid,

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cinnamic acid, isobutyramide, phenylacetic acid, vinyl acetic acid, etc, are known to be useful for treatment of cystic fibrosis. See, particularly, column 1, lines 15-29, column 10, lines 17-23, and the claims.

Therefore, it would have been prima facie obvious to a person of ordinary skill in the art, at the time the claimed the invention was made, to employ 4-phenyl-trans-3-butenic acid for treating cystic fibrosis.

A person of ordinary skill in the art would have been motivated to employ 4-phenyl-trans-3-butenic acid for treating cystic fibrosis because aryl carboxylic acids, with substituent or without substituent on the aryl ring, and wherein the carboxyl group attached to the aryl group through either alkyl or alkenyl, are generally known to be useful for treating cystic fibrosis. The instant compound differing from the prior art compound only in the substituent on the aryl ring, or the double bond at the linker between the aryl and carboxylic group, would have been reasonably expected to be similarly useful for treating cystic fibrosis, absent evidence to the contrary. Regarding claim 22-23, note selecting and/or optimizing an administering method of a pharmaceutical agent is considered within the skill of artisan.

6. Claims 48, 51 and 55 are rejected under 35 U.S.C. 103(a) as being unpatentable over Faller et al. (WO 99/40883).

7. Faller teaches a method of treating cystic fibrosis comprising administering to a composition comprising butyric acid derivatives, e.g., cinnamic acid. See, particularly, the abstract and the claims.

8. Faller does not teach expressly to employ the particular compounds herein, e.g., 4-phenyl-3-butenic acid.

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9. The reference teaches certain compounds that are structural homologs of the instantly claimed compounds, i.e., they differ only by a CH_2 group. Cinnamic acid differs from 4-phenyl-3-butenic acid by a methylene moiety. The instant compounds are structural homologs of the reference compounds. One having ordinary skill in the art would have been motivated to prepare the instantly claimed compound because such structurally homologous compounds are expected to possess similar properties. It has been held that compounds that are structurally homologous to prior art compounds are prima facie obvious, absent a showing of unexpected results. In *re Hass*, 60 USPQ 544 (CCPA 1944); In *re Henze*, 85 USPQ 261 (CCPA 1950). Note both 4-phenyl-2-butenic acid or 4-phenyl-3-butenic acid are homologs to cinnamic acid. It should be well understood that cinnamic acid present either in trans or cis form. Therefore, without a particular limitation, cinnamic acid would encompass both trans and cis forms.

Response to the Arguments

Applicant's amendments, remarks and the 132 declaration by Dr. Zeitlin have been fully considered, but are not persuasive for reasons discussed below.

10. The declaration under 37 CFR 1.132 filed March 5, 2007 is insufficient to overcome the rejection of claims 48, 51 and 55 based upon Herron in view of Rubenstein et al and Rephaeli, or Faller as set forth in the last Office action because: The claims herein are not commensurate in the scope with the evidence of unexpected results. See MPEP 716.02 (d).

Regarding the establishment of unexpected results, a few notable principles are well settled. It is applicant's burden to explain any proffered data and establish how any results therein should be taken to be unexpected and significant. See MPEP 716.02 (b). Particularly, the claimed invention is directed to a method of treating cystic fibrosis by administering to the

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patient a therapeutically effective amount of trans-SAA. The evidence provided in the declaration shows that trans-SAA has superior property as to promoting the trafficking of F508-CFTR to the cell surface relative to cinnamic acid and 4-PBA. The declaration, or any thing on the record, has not established the correlation between the superior property shown in the declaration and the treatment of cystic fibrosis. It is particularly noted that F508-CFTR is a defected mutant of CFTR in cystic fibrosis, which cause the defect of chloride channel. See pages 2 and 3 of the specification. AS discussed in the prior office action, It is applicant's burden to explain any proffered data and establish how any results therein should be taken to be unexpected and significant. See MPEP 716.02 (b). It is unclear how the alleged unexpected results are significant for the treatment of cystic fibrosis.

11. In view of the foregoing, when all of the evidence is considered, the totality of the rebuttal evidence of nonobviousness fails to outweigh the evidence of obviousness.

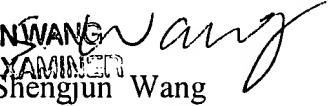
Any inquiry concerning this communication or earlier communications from the examiner should be directed to Shengjun Wang whose telephone number is (571) 272-0632. The examiner can normally be reached on Monday to Friday from 7:00 am to 3:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Sreeni Padmanabhan, can be reached on (571) 272-0629. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR

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system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).


SHENGJUN WANG
PRIMARY EXAMINER
Shengjun Wang
Primary Examiner
Art Unit 1617